

Republic of Kiribati

South Tarawa Sanitation Project (P176478) Additional Financing

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

**Appraisal Version
16 October 2026**

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Kiribati (the Recipient) is implementing the South Tarawa Sanitation Project (the **Project**), with the involvement of the Ministry of Infrastructure and Sustainable Energy (MISE) and of other government ministries/agencies/units on Project implementation as set out in the Original Financing Agreement and the Additional Financing Agreement (the Agreements). The International Development Association (the Association) has agreed to provide the Additional Financing (AF) for the Project, as set out in the Agreements. **This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for the Project referred to above.**
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (**ESSs**) and this Environment and Social Commitment Plan (ESCP), in a manner acceptable to the Association. This ESCP is a part of the Agreements. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the Agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, their respective timeframes; institutional, staffing, training, monitoring and reporting arrangements; and grievance management, and any specific documents or plans, as well as the timing for each of these. The ESCP also sets out the environmental and social (E&S) documents that shall be prepared or updated, consulted, disclosed and implemented under the Project, consistent with the ESSs, in form and substance acceptable to the Bank. Said E&S documents may be revised from time to time with prior written agreement by the Bank. As provided for under the referred Agreements, the Recipient shall ensure that there are sufficient funds available to cover the costs of implementing the ESCP.
4. The Recipient will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Impact Assessment (ESIA), the Environmental and Social Management Plans (ESMP), Labor Management Procedures (LMP), and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
5. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
6. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by the Recipient as required by the ESCP and the conditions of the Agreements, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
7. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, if necessary, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient and the Association will agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient. The Recipient will promptly disclose the updated ESCP.
8. The subsection on “Indicators for Implementation Readiness” below identifies the actions and measures to be monitored to assess Project readiness to begin implementation in accordance with this ESCP. Nevertheless, all actions and measures in this ESCP shall be implemented as set out in the “Timeframe” column below irrespective of whether they are listed in the referred subsection.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	REGULAR REPORTING Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project. The reports shall include: - Status of preparation and implementation of E&S documents required under the ESCP. - Summary of stakeholder engagement activities carried out as per the Stakeholder Engagement Plan (SEP). - Complaints submitted to the grievance mechanism(s), the grievance log, and progress made in resolving them. - E&S performance of contractors and subcontractors are reported through monthly contractors and supervision firms' reports. - Number and status of resolution of incidents and accidents reported under action E below.	Six-monthly throughout Project implementation commencing after effective date. Submit each report to the Association no later than 15 days after the end of each reporting period	MISE (PMU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
B	INCIDENTS AND ACCIDENTS Notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including those resulting in death or significant injury to workers or the public; acts of violence, discrimination or protest; unforeseen impacts to cultural heritage or biodiversity resources; pollution of the environment, dam failure; forced or child labour; displacement without due process (forced eviction); allegations of sexual exploitation or abuse (SEA), or sexual harassment (SH); or disease outbreak. Provide available details of the incident or accident of the Association upon request. Arrange for an appropriate review of the incident or accident to establish its immediate, underlying and root causes. Prepare, agree with the Association, and implement a Corrective Action Plan that sets out the measures and actions to be taken to address the incident or accident and prevent its recurrence.	Minor incidents will be reflected in the six-monthly reports to the Association, major incidents will be reported to the Association within 48 hours.¹ Provide available details upon request. Provide review report and Corrective Action Plan to the Association no later than 10 days following the submission of the initial notice, unless a different timeframe is agreed to in writing by the Association.	MISE (PMU) MISE (PMU)

¹ Major incidents/accidents must be reported in accordance with the World Bank Safeguards Incident Response Toolkit' (SIRT). The SIRT outlines the requirements for reporting GBV cases and has a protocol that defines incident severity and reporting requirements.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
C	CONTRACTORS AND SUPERVISION CONSULTANT MONTHLY REPORTS Upon Association request, require contractors and/or supervising firms to provide monthly monitoring reports on E&S performance in accordance with metrics specified in the respective bidding documents and contracts and submit such report to the Association. The report will cover, inter alia: • Status of implementation of Contractor's ESMP (C-ESMP) • ESHS incidents • ESHS supervision • Usage of Personal Protective Equipment (PPE) such as hard hats, safety shoes and safety vests by workers and masks and other measures as needed to prevent the spread of COVID-19 • Training conducted, and workers participation • Workers grievances • Community grievances	Monthly reports submitted to the PMU as specified in the procurement contracts and or upon the Association's request. Throughout Project implementation	MISE (PMU)
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE Continue to maintain an organizational structure with qualified staff and resources to support management of E&S risks with two environmental and a social risk officers based in the PMU, supported by an environmental and social officer within the Kiribati Fiduciary Services Unit (KFSU) (as engaged by the Ministry of Finance and Economic Development (MFED)) to support management of ESHS risks and impacts of the Project.	Maintain the organizational structure with two environmental and social risk officers in the PMU and remain throughout Project implementation.	MISE (PMU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT a. Continue to adopt and implement the ESIA prepared for the Project, in a manner acceptable to the Association. The Project will ensure that the ESIA meets the requirement of MELAD for any environment license permit if required. b. Update, adopt and implement any ESMPs or other instruments (incl ESCOPs). The Project will ensure that the ESMP meets the requirement of the MELAD for any environment license permit if required. c. Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans (ESMP) or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.	a) adopt and implement the ESIA. Throughout the Project implementation. b) Update, adopt and implement any ESMPs or other instruments (incl ESCOPs). Throughout the Project implementation. c) Before launching the procurement process for the relevant Project activities and thereafter maintain throughout the civil works/construction activities.	MISE (PMU) MISE (PMU) MISE (PMU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT OF TECHNICAL ASSISTANCE Carry out the consultancies, studies (including feasibility studies, if applicable) capacity building, training, and any other technical assistance activities under the Project [including inter alia] [specify the E&S or plans to be supported under the TA] in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter prepare and finalize the outputs of such activities in compliance with the terms of reference. Screen technical assistance activities, terms of reference and outputs to ensure compliance with ESF and relevant local legal and Good International Industry Practice (GIIP) requirements, in a manner acceptable to the Association.	Before launching the procurement process for Project Technical Assistance activities, and thereafter throughout the implementation and completion of technical assistance outputs.	MISE (PMU)
1.4	MANAGEMENT OF CONTRACTORS a) Incorporate the relevant aspects of the ESCP, including the relevant ESIA and ESMPs and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts. b) Ensure robust supervision of the contractors during the implementation of the Project to ensure that they comply with all relevant ESHS specifications and codes of conduct as specified in their respective contracts, and take the corrective actions as required.	a. Prior to the preparation of procurement documents. Supervise contractors throughout Project implementation b. Throughout Project implementation and reported in the monthly reports.	MISE (PMU) MISE (PMU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.5	PERMIT, CONSENTS AND AUTHORIZATIONS Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national and sub-national authorities. Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.	As applicable, to be obtained prior to initiating activities that require permits, consents and authorizations	MISE (PMU)
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES Maintain the implementation of the Labor Management Procedures (LMP) for the Project in accordance with the applicable requirements of ESS2, in a manner acceptable to the Association, including, inter alia, adequate occupational health and safety measures (including emergency preparedness and response measures), grievance arrangements for Project workers, a worker Code of Conduct, and other labor requirements to be incorporated into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. The LMP shall also include specific prohibitions in terms of child labor and forced labor.	Maintain the implementation of the LMP. All measures specified in this action 2.1 shall be implemented throughout the Project implementation.	MISE (PMU)
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Grievance mechanism operational prior engaging Project workers and maintained throughout Project implementation. Labor Grievance Mechanism shall be specified in the LMP and functional throughout Project implementation.	MISE (PMU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.3	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES a. Adopt and implement: (i) an Occupational, Health and Safety Plan (OHSPs) as part of the development and implementation of site-specific ESIA and ESMPs, in accordance with relevant guidelines to be specified in the ESIA and LMP. b. Incorporate relevant OHS measures in bid and contract documents for all construction and supervision firms. c. Ensure that the supervision firms hire specialists in OHS. d. Ensure that the OHSPs measures specified are implemented at each of the individual worksites.	a. Same timeframe as for the preparation and implementation of the site-specific ESIA and / or ESMPs. b. Prior to the bidding process of each works package. c. Prior to the bidding process for the supervision firms. d. Throughout implementation of each individual work.	MISE (PMU)
2.4	Code of conduct Establish provisions in the bidding documents for contractors and supervision consultants to establish a Code of Conduct to be signed by all workers, including any subcontractors, and establish the minimum content of said code. The Code of Conduct must be based on the guidelines contained in the LMP and the ESIA and should address, among other issues, the risk of Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH) in the workplace.	Before launching the bidding process.	MISE (PMU)
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	HAZARDOUS MATERIALS AND HAZARDOUS WASTE MANAGEMENT Ensure full implementation of guidelines/codes to be specified in the ESIA related to the following topics, as may be further specified in site-specific ESMPs: • Management of hazardous and non-hazardous solid waste • Wastewater management • Sludge management (if water and sanitation works are financed) • Vector and pesticide management	Throughout Project implementation	MISE (PMU)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: Resource efficiency and pollution prevention and management measures will be covered under the ESIA and relevant site-specific ESMPs to be prepared under action 1.2 above.	Throughout Project implementation	MISE (PMU)
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY: Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in the ESIA and site specific ESMPs developed under action 1.2 above.	Throughout Project implementation	MISE (PMU)
4.2	COMMUNITY HEALTH AND SAFETY: Continue to Maintain, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities including risks of exposing communities to transmissible diseases like COVID19, or other illness. COVID measures are included in the ESIA, in a manner acceptable to the Association.	Throughout Project implementation	MISE (PMU)
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	RESETTLEMENT PLANS: Maintain land due diligence as part of the ESIA and adopt, and implement land access/ land use measures which may include permission to access land, this is included in the ESIA, ESMPs and ESCOPs, in accordance with ESS5 and consistent with the requirements of the land access/land use that has been prepared for the Project, and thereafter adopt and implement the respective measures before carrying out the associated activities, in a manner acceptable to the Association.	Land due diligence to be implemented in full and completed prior to commencing Project activities that involve land access/ land use, in accordance with paragraph 15 of ESS5. Throughout Project implementation	MISE (PMU)
5.2	GRIEVANCE MECHANISM The grievance mechanism (GM) to address resettlement related complaints shall be described in the ESIA, ESMPs, ESCOPs and SEP.	Throughout Project implementation	MISE (PMU)
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL			

6.1	BIODIVERSITY RISKS AND IMPACTS: [Where biodiversity-related risks and impacts cannot be comprehensively covered as part of the ESMP] a. Incorporate and implement procedures, as shall be specified in the ESIA, ESMPs and ESCOPs, to ensure that activities financed by the Project do not take place on or adversely impact biodiversity and environmentally sensitive areas, particularly in natural or critical habitats. b. Biodiversity risks and impacts and management measures are covered under the ESIA, ESMPs and ESCOPs prepared under Action 1.2 above.	a. Same timeframe as for the preparation and implementation of the site-specific ESIA, ESMPs and/or ESCOPs, as indicated in action 1.2 above. b. Throughout Project Implementation	MISE (PMU) MISE (PMU)
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	Not Relevant		
ESS 8: CULTURAL HERITAGE			
8.1	CHANCE FINDS: Reflect in the ESIA, adopt, and implement a Chance Finds Procedure for each project activity involving civil works as part of corresponding ESIA and or ESMPs.	Incorporate and implement in the site specific ESIA and/or ESMPs, as indicated in action 1.2 above.	MISE (PMU)
ESS 9: FINANCIAL INTERMEDIARIES			
	Not Relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			

10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION a. Update, consult, disclose and adopt the Stakeholder Engagement Plan (SEP) prepared for the Project. b. Implement the SEP. The SEP shall include stakeholder consultation and inclusion measures and participatory processes to ensure a socially inclusive and conflict- sensitive approach to reconstruction to ensure that Project activities are undertaken with equity and transparency. c. Maintain and update the SEP regularly throughout Project implementation and develop specific consultation strategies for Project activities.	a. The SEP shall be revised, consulted, disclosed prior to the AF- Appraisal and thereafter adopt and implement throughout Project implementation. b. Throughout Project implementation c. Throughout Project implementation	MISE (PMU)
10.2	PROJECT GRIEVANCE MECHANISM: Maintain and operate a grievance mechanism (GRM), as described in the SEP. The GRM shall receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.	The GRM shall be updated periodically as required, through Project implementation. Any update of the GRM should be submitted for approval to the Association. Submit to the Association six-monthly monitoring reports throughout Project implementation.	MISE (PMU)
CAPACITY SUPPORT (TRAINING)			

CS1	The MISE and other relevant implementing support staff responsible for the Project to receive training on the Project's ESF instruments, CoC and provisions to prevent SEA/SH, the relevant requirements of the ESIA, LMP and SEP, and the roles and responsibilities of key agencies in the ESF implementation. This may include, in particular, the following: - Environmental and social management instruments for the Project - Integrated waste management for hazardous and non-hazardous waste - Occupation Health and safety (OHS) for the workforce - Community and worker health and safety - GBV Risk Mitigation - Prevention and Response Measures and the development and implementation of GBV measures (including among contractors, workers and civil servants involved in the Project) - Inclusion training to ensure non-discrimination of socially vulnerable populations including poor, illiterate, persons with disabilities, sexual and gender minorities - Grievance Management	Trainings will be provided during Project implementation by the PMU, the KFSU and/or their supervision consultants or other consultants retained for Project support, in accordance with an agreed timeline or upon request by the Association.	MISE (PMU)
CS2	Contractors to receive training on the relevant aspects of the Project ESF instruments and relevant ESHS requirements.	Prior to work commencing and throughout Project implementation.	MISE